MAY 0 6 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

application of: Morishita et al.

pplication No. 09/869,475

Filed: June 28, 2001

For: GENE THERAPY FOR DIABETIC

ISCHEMIC DISEASE

Examiner: not yet assigned

Date: April 29, 2002

Art Unit: not yet assigned

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on April 29, 2002, as First Class Mail in an envelope addressed to: COMMISSIONER

FOR PATENTS, WASHINGTON D.C. 20231.

TRANSMITTAL LETTER

COMMISSIONER FOR PATENTS WASHINGTON, DC 20231

Enclosed for filing in the application referenced above are the following:

 $[\times]$ Information Disclosure Statement Form 1449 and references cited thereon

[>] The Director is hereby authorized to charge any additional fees that may be required, or credit over-payment, to Account No. 02-4550. A copy of this sheet is enclosed.

Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

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cc: Docketing

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William D. Noonan, M.D. Attorney for Applicant

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. § 1.97(b)(3)

TO THE COMMISSIONER FOR PATENTS WASHINGTON, DC 20231

Sir:

Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language and/or non-English-language documents. Applicants respectfully request that these documents be listed as references cited on the issued patent.

Applicants filed this Information Disclosure Statement before the mailing date of a first Office action on the merits. As a result, no fee should be required to file this IDS. However, if the Patent Office determines that a fee is required for Applicants to file this Information Disclosure Statement, please charge any such fees, or credit overpayment, to Deposit Account No. 02-4550.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

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